

JOHN W. WEYHGANDT II

March 7, 2023

ADV Part 2B – Supplemental Brochure

*Western States Investments
A Wealth Management Coaching Group
“Investing For Life”
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Corona, CA 92882
Phone: (951) 371 - 7608*

This Brochure Supplement provides information about John W. Weyhgandt II that supplements the Western States Investments’ Brochure. You should have received a copy of that Brochure. Please contact Mr. Weyhgandt at (951) 371 - 7608 if you did not receive John W. Weyhgandt II’s Brochure or if you have any questions about the contents of this supplement.

Additional information about John W. Weyhgandt II is available on the SEC’s website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for John W. Weyhgandt II is 6291955.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John W. Weyhgandt II

Born: 1966

Education: *Cal Poly Pomona* – 1984 to 1988

Business Background:

Western States Investments – January 2014 to Present
– Owner

Western States Financial – September 1994 to Present
– Owner

ITEM 3 – DISCIPLINARY HISTORY

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Investment Related Other Business Activities: Mr. Weyhgandt is a licensed independent insurance agent (Life and Health Licensed). He is appointed to sell insurance with various insurance carriers, and he may sell insurance products to clients for a commission. This causes a conflict of interest because he receives a commission from product sales as an independent insurance agent, which is separate from the investment management fees outlined in the firm's Form ADV Part 2A. Mr. Weyhgandt attempts to mitigate his conflict of interest to the best of his ability by placing the client's interests ahead of his own through his fiduciary duty. Additionally, it is the Firm's policy that recommended insurance purchases do not have to be purchased through Mr. Weyhgandt or any affiliate. He spends approximately 25% of his time on this activity.

Non-Investment Related Other Business Activities: Mr. Weyhgandt owns Western States Financial. He spends approximately 5% of his work week on this activity. He is also licensed to offer Medicare Advantage plans and Medicare supplement insurance. He spends less than 5% of this time on this activity.

ITEM 5 – ADDITIONAL COMPENSATION

Mr. Weyhgandt does not receive any additional compensation other than what is disclosed in John W. Weyhgandt II's brochure.

ITEM 6 – SUPERVISION

Mr. Weyhgandt is the sole principal and Chief Compliance Officer of the Firm. As a result, he does not have internal supervision placed over him. He is bound by the Firm's Code of Ethics.

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISERS

A. Arbitration or Civil, Self-Regulatory Organization or Administrative Proceedings

Mr. Weyhgandt has not been the subject of any arbitration claim or administrative proceeding.

B. Bankruptcy History

Mr. Weyhgandt has not been the subject of a bankruptcy petition.