

<b>FACTS</b>	<b>WHAT DOES WESTERN STATES INVESTMENTS DO WITH YOUR PERSONAL INFORMATION?</b>
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<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
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<b>What?</b>	<p>The types of personal information we collect, and share depends on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security Number and Income</li> <li>• Account Balances and Transaction History</li> <li>• Assets and Employment History</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice</p>
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<b>How?</b>	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons companies can share their clients' personal information; the reasons Western States Investments chooses to share; and whether you can limit this sharing.
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Reasons we can share your personal information?	Does Western States Investments Share?	Can you limit this sharing?
<b>For our everyday business purposes</b> - Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes</b> - To offer our products and services to you	Yes	No
<b>For Joint Marketing with other financial companies</b>	No	We don't share.
<b>For our affiliates' everyday business purposes</b> - Information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes</b> - Information about your creditworthiness	No	We don't share.
<b>For non-affiliates to market to you</b>	No	We don't share.

<b>Questions?</b>	<b>Please Call 951-371-7608</b>
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Who we are	
Who is providing this notice?	Western States Investments

What we do	
<b>How does Western States Investments Protect my personal information</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does Western States Investments collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>• Complete an Application</li> <li>• Seek Investment Advice</li> <li>• Complete a Third Party Investment Adviser's Application</li> </ul> <p>We also collect your personal information from other, such as credit bureaus, affiliates, or other companies.</p>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes- information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for non-affiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions	
<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>• <i>Western States Investments shares with Western States Financial so they can market to you. Both Firms are wholly owned by John W. Weyhgandt II</i></li> </ul>
<b>Non-affiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>• <i>Western States Investments does not share with non-affiliates so they can market to you.</i></li> </ul>
<b>Joint Marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>• <i>Western States Investments does not jointly market.</i></li> </ul>

Other important information	